

111903
13281 U.S. PTO

**HUNTON &
WILLIAMS**

November 19, 2003

INTELLECTUAL PROPERTY DEPARTMENT
HUNTON & WILLIAMS LLP
1900 K STREET, N.W.
WASHINGTON, D.C. 20006-1109

TEL 202 • 955 • 1500
FAX 202 • 778 • 2201

STANISLAUS AKSMAN
DIRECT DIAL: : (202) 955-1926
EMAIL: SAKSMAN@HUNTON.COM

FILE NO: 60820.000004

031088 U.S. PTO
10/715417

111903

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **60820.000004**

First Named Inventor: **Eivin Per Thor STRATEN et al.**

Title: **SURVIVIN-DERIVED PEPTIDES AND USE THEREOF**

Customer No. **21967**

TO: **MAIL STOP PATENT APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☐ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor
☐ Small Business Concern
☐ Non-Profit Organization
☐ Statement Enclosed
☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 64 (Including Abstract)

MAIL STOP PATENT APPLICATION

November 19, 2003

Page 2

| CLAIMS AS FILED | | | | | | |
|---|--------------|------------------|-------|--------------|--------------|--------------------|
| | Claims Filed | Basic Fee Claims | Extra | Rate | | Amount |
| | | | | Large Entity | Small Entity | |
| Total Claims | 84 | 20 | 64 | \$ 18.00 | \$ 9.00 | \$ 1,152.00 |
| Independent Claims | 1 | 3 | 0 | \$ 86.00 | \$ 43.00 | \$ 0.00 |
| First Presentation of Multiple Dependent Claims | | | | \$ 290.00 | \$ 145.00 | \$ 0.00 |
| BASIC FEE | | | | \$ 770.00 | \$ 385.00 | \$ 770.00 |
| TOTAL FILING FEE | | | | | | \$ 1,922.00 |
| Assignment Recordation Fee | | | | | | \$ 0.00 |
| TOTAL AMOUNT | | | | | | \$ 1,922.00 |

4. ☒ Drawings - Total Sheets: 16 (Fig(s). 1-16)
5. Oath or Declaration - Total Pages: _____
- a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
- b. ☐ Copy from a prior application
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(s):
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☒ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or

MAIL STOP PATENT APPLICATION

November 19, 2003

Page 3

- ii. ☒ Paper Copy (20 pages)
- c. ☐ Statements verifying identity of above copies
9. ☐ Assignee/Applicant: _____

- ☐ Assignment and Assignment Recordation Form Submitted Herewith
- ☒ Assignee of Record in Prior Application No. **10/354,090** :
BioVision A/S

- recorded on _____ at Reel _____, Frame _____.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☒ Priority is Claimed as Follows:
U.S. Patent Application No. 10/354,090, filed January 30, 2003

- ☒ Claim Benefit of the Following U.S. Provisional Application No(s).:
U.S. Patent Application No. 60/352,284, filed January 30, 2002

- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

MAIL STOP PATENT APPLICATION

November 19, 2003

Page 4

18. ☐ Continuation ☐ Divisional ☒ Continuation-in-Part of
Prior Application No.: 10/354,090 filed January 30, 2003

Prior application information: Examiner _____ Group Art Unit 1646

☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.

☐ Complete Application Based on Provisional Application No.: _____
filed _____

19. Please address all correspondence to:

☒ CUSTOMER NUMBER **21967**

Stanislaus Aksman
Intellectual Property Department
Hunton & Williams LLP
1900 K Street, N.W.
Suite 1200
Washington, DC 20006-1109



MAIL STOP PATENT APPLICATION

November 19, 2003

Page 5

20. ☐ A check in the amount of \$ _____ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.
- ☒ The U.S. Patent and Trademark Office is hereby authorized to charge any amounts due and variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:

Stanislaus Aksman

Registration No. 28,562

SA/abs
Enclosures